

DigiCert® PKI Platform

HSM Installation and Configuration for nShield

August 20, 2020



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Table of Contents

INTRODUCTION	4
REVISION HISTORY	4
SUPPORTED HSMS	4
NSHIELD CONNECT HSM.....	5
INSTALL SECURITY WORLD SOFTWARE	5
CONFIGURE SECURITY WORLD SOFTWARE	10
CONFIGURE HA (HIGH AVAILABILITY)	14
CONFIGURE CSP (MODULE PROTECTION)	15
CONFIGURE KSP (MODULE PROTECTION)	20
GENERATE CSR AND INSTALL CERTIFICATE (MODULE PROTECTION)	25
GET RA CERTIFICATE IN PKI-MANAGER	26

Introduction

This document describes the installation and configuration steps for the nShield Connect HSM that DigiCert PKI Enterprise Gateway and Autoenrollment server uses.

Revision History

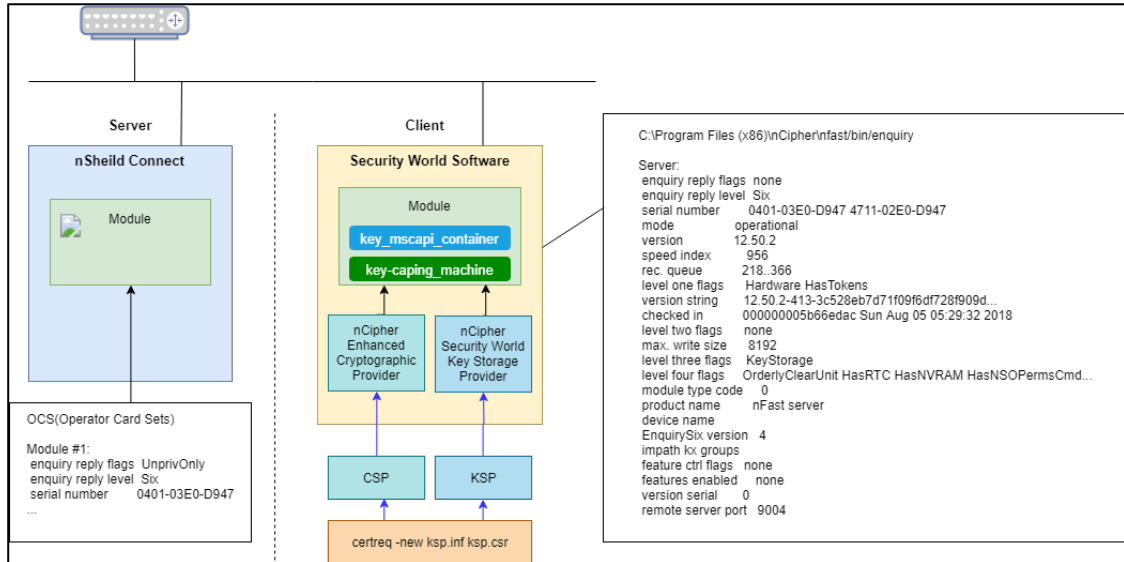
No.	Date	Summary
1.	2019/05/10	Create a new entry
2.	2019/08/30	The algorithm of signature for PKCS#10(CSR) has been changed from SHA1 to SHA256 .
3.	2019/11/20	Provider Type changed to 0 as Provider Type is not defined for KSP
4.	2020/03/23	Added support for: <ul style="list-style-type: none"> • Strict FIPS 140-2 Level 3 enabled • OCS – 1 of 3 with passphrase for CNG • OCS is set in non-persistent mode
5.	2020/08/20	Removed OCS protection related section.

Supported HSMs

HSM Type	Client Version	Software Version	Firmware Version
nShield Connect HSM	12.50.2	12.50.2	The following is the output of enquiry (or nfdiag) command <pre>nfast server: 2.103.13 module: 3.4.2</pre>
nShield Connect HSM <ul style="list-style-type: none"> • Strict FIPS 140-2 Level 3 enabled • Module protection for CSP and CNG 	12.50.2	12.50.2	2.61.2

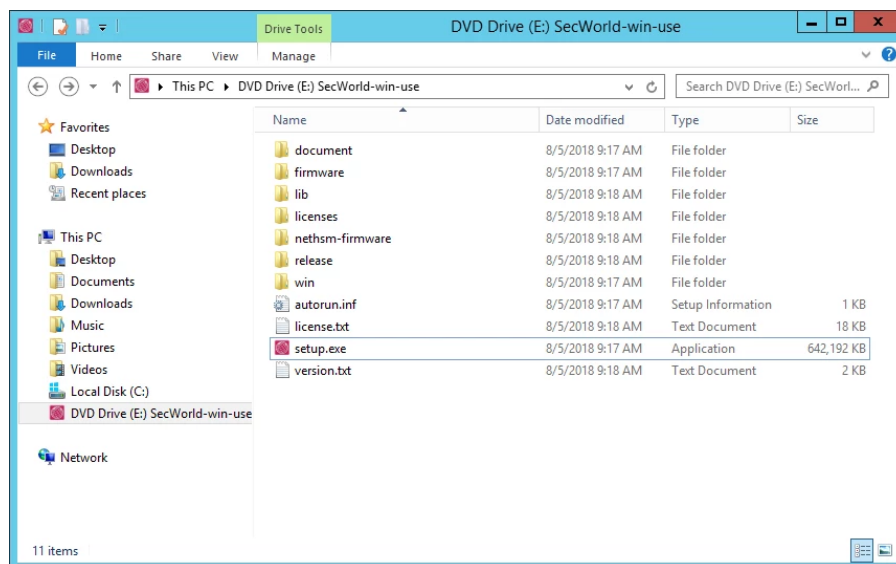
nShield Connect HSM

nShield Connect is network HSM, which allows you to create a module (Operator Card Sets) to store a key. Security World Software can access the partition of the HSM through secure channel.



Install Security World Software

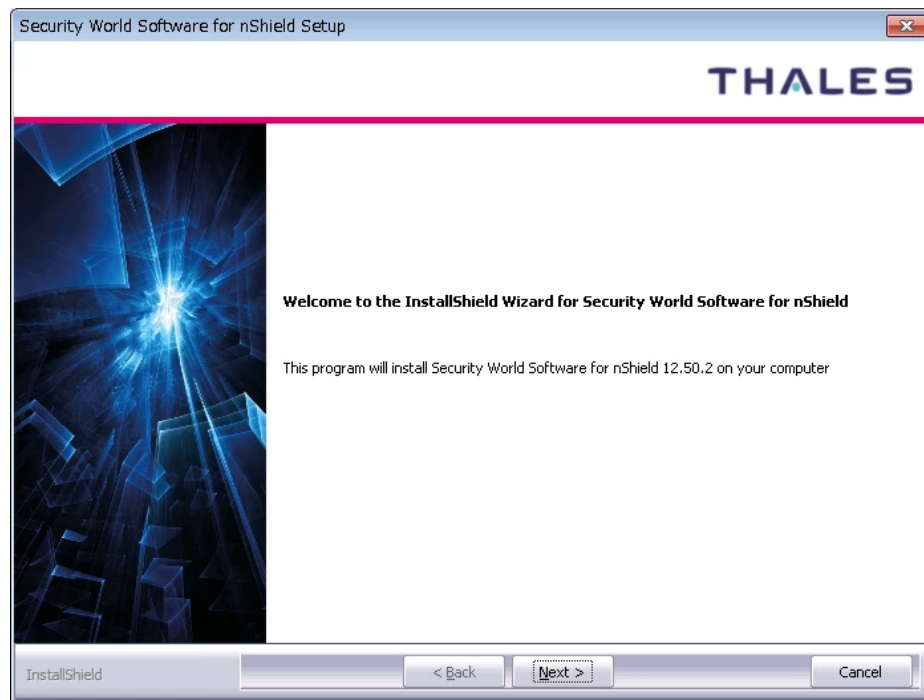
1. Extract or mount the iso image.



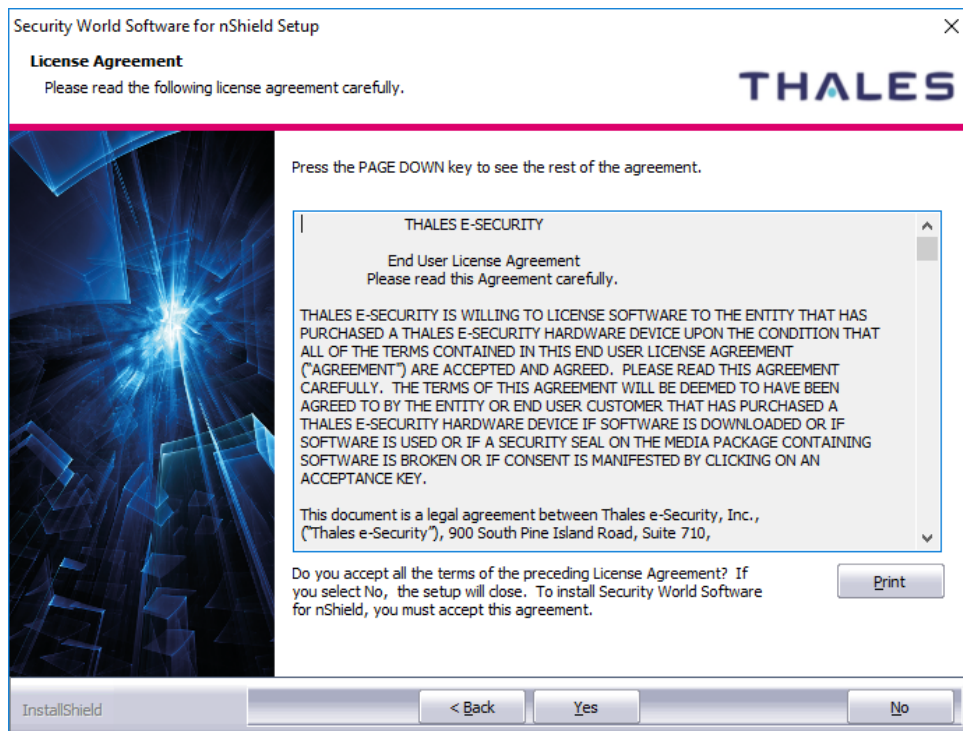
NOTE: If you try to upgrade the client software, the old version must be uninstalled. After uninstallation, the system requires to reboot the computer.

Even if you uninstall the old version, you do not have to configure the client software again because the HSM has already configured the client.

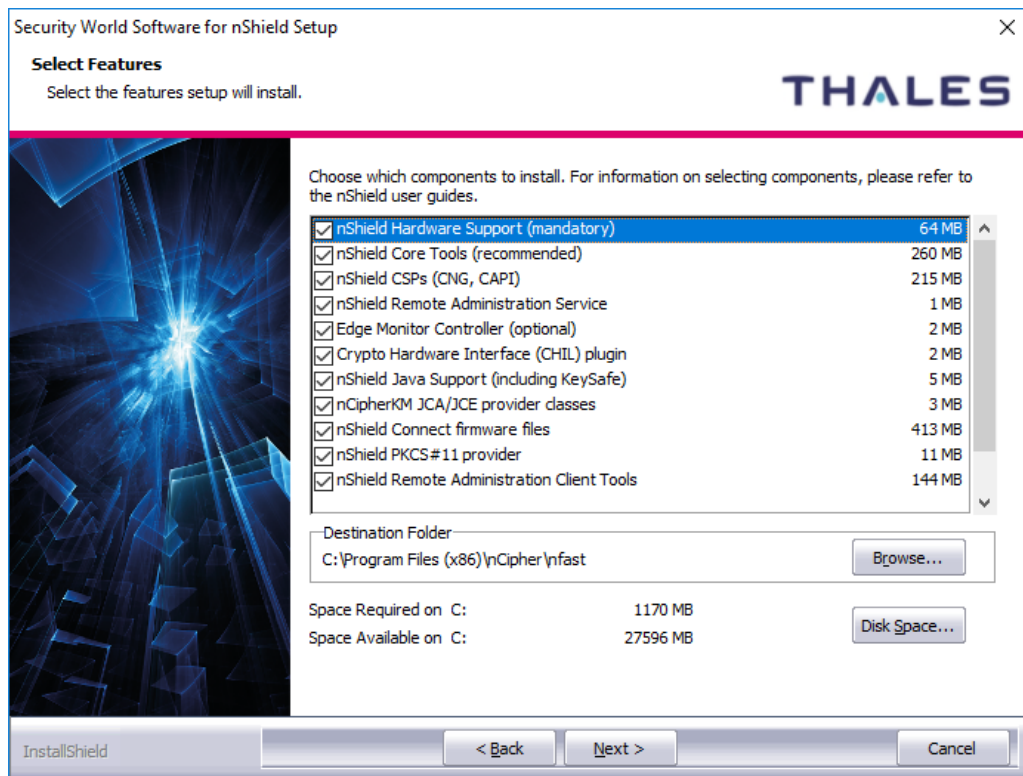
2. Run "setup.exe" as Administrator.



3. Accept the Software License Agreement.



4. Select the components and the destination and click **Next**.

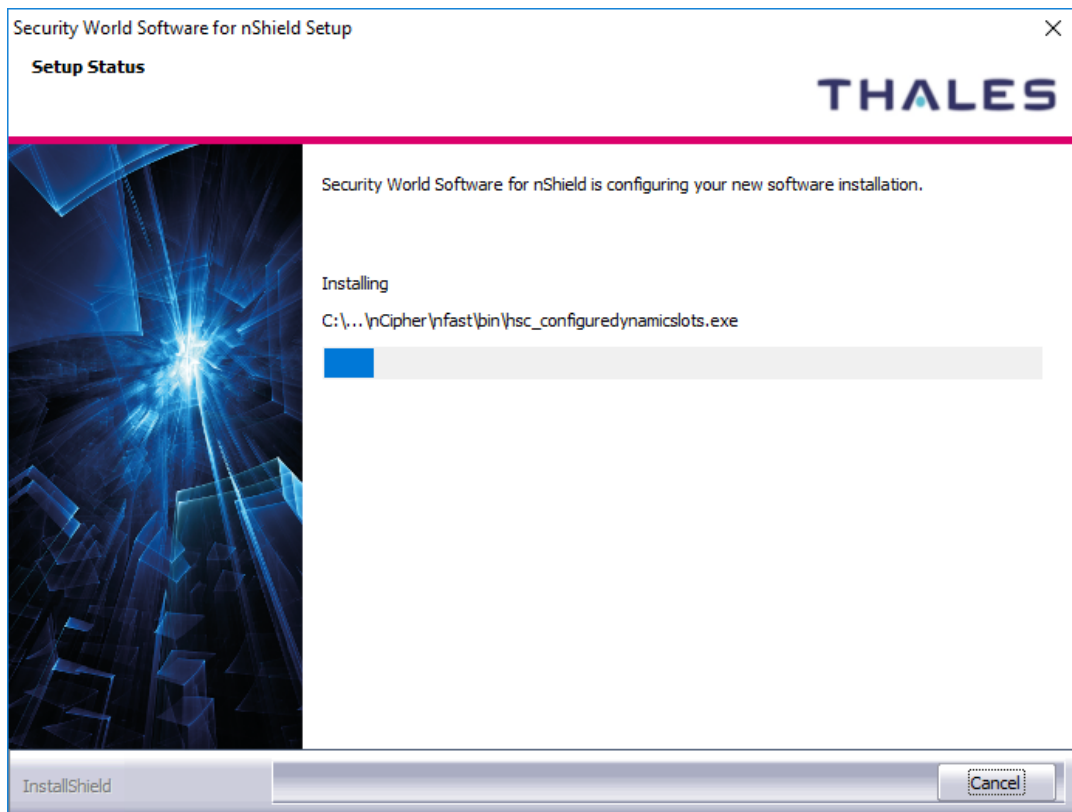


- Select all the components by default.
- Change the destination folder if you want.
- Click **Next**.

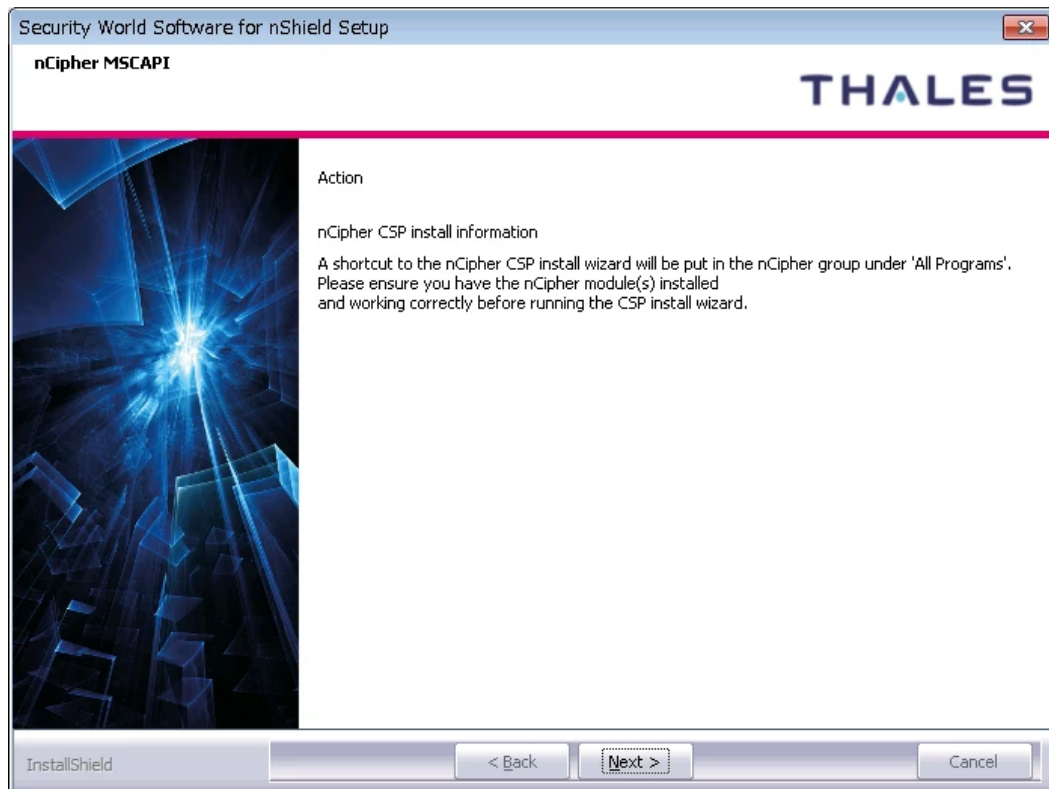
NOTE: When **Next** is clicked, the following notification is displayed.

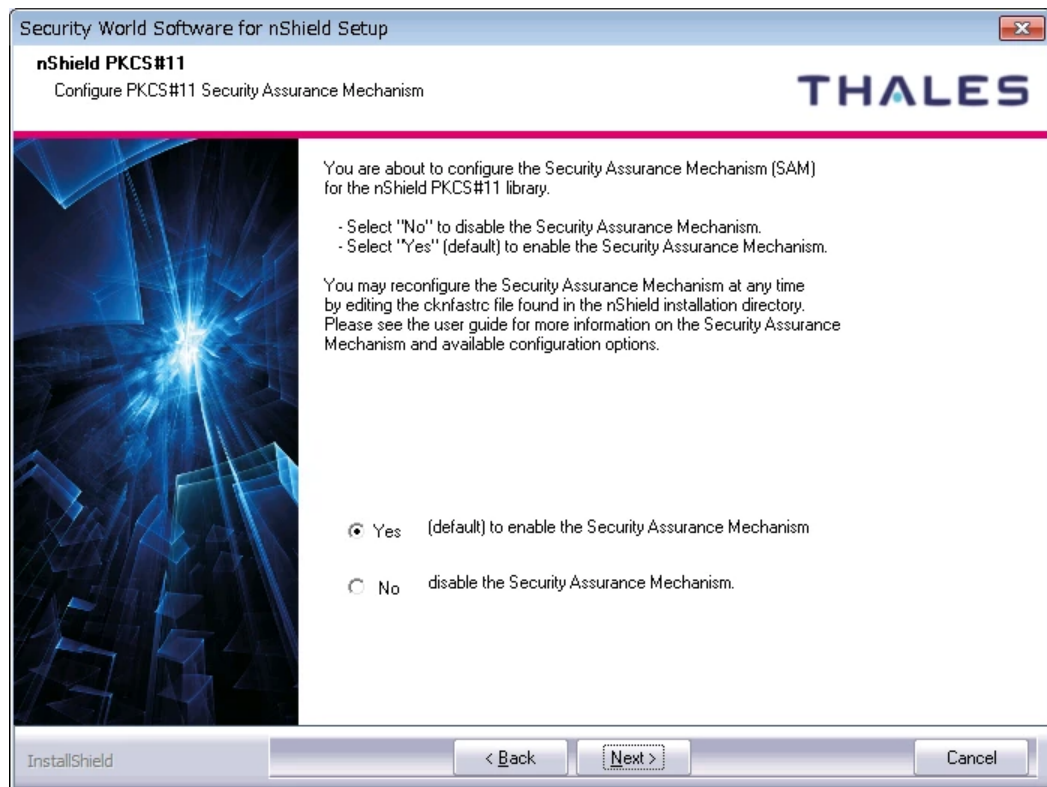
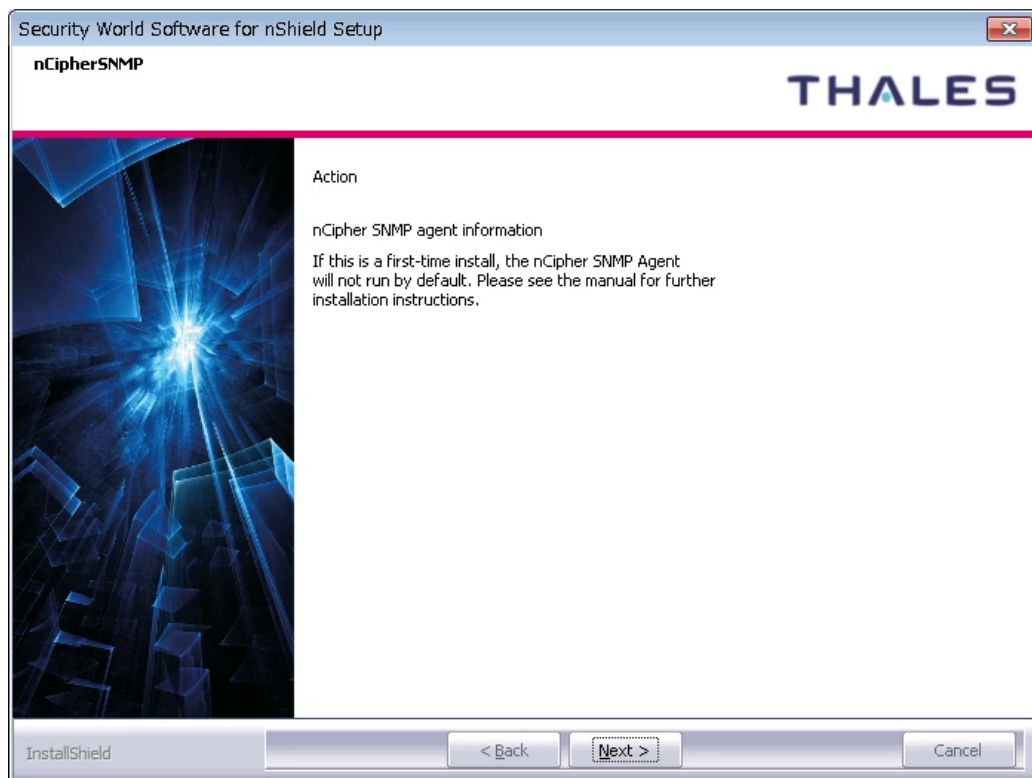


5. Wait for the completion.

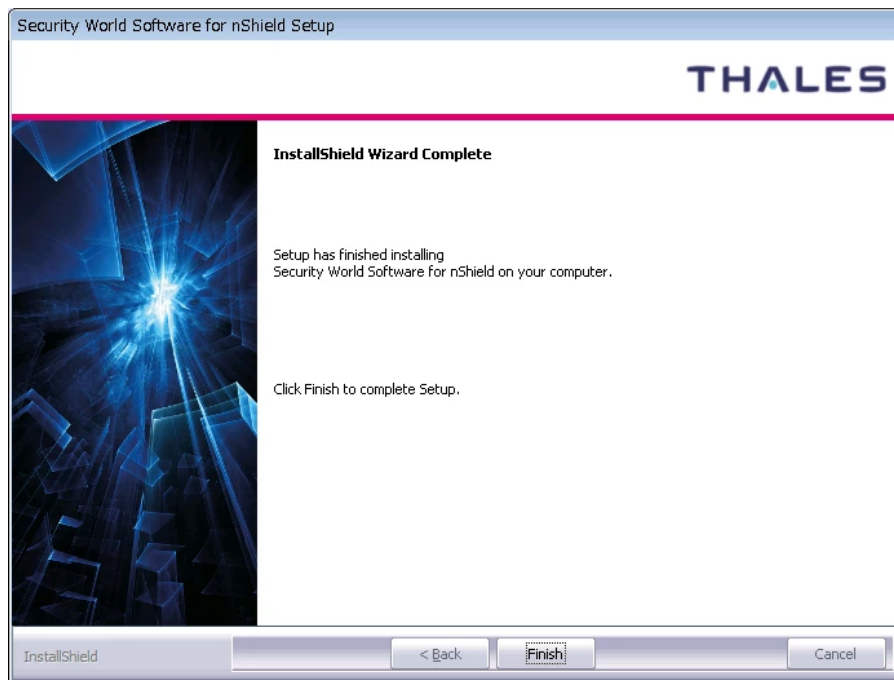


6. Create shortcut for CSP and click **Next**.



7. Install nShield PKCS#11 and click **Next**.8. Install SNMP Agent and click **Next**.

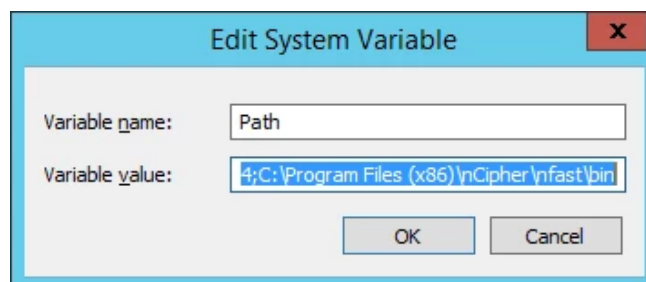
9. Installation is now complete. Click **Finish**.



NOTE: After uninstallation, several files of the old software remains at the Program folders under "Programs and Features". You need to manually remove the files for the installation to proceed.

Configure Security World Software

1. Add the path of executable file into environment variables.



2. Prepare to configure the client software.

Each client computer must be configured to use the internal security module of your nShield Connect. There are two methods for achieving this:

- Enrolling the client with the configuration file.
- Enrolling the client with command-line utilities.

3. Check if the tool works.

The **nethsmenroll** command-line utility edits the client hardserver's configuration file to add the specified nShield Connect. For more information about the options available to use

with `nethsmenroll`, read the following section Client configuration utilities, or run the command:

```
nethsmenroll -help
```

4. Obtain the HSM ESN and HKNETI information.

Obtain the following information about the HSM before you set up an RFS for the first time:

- The IP Address
- The electronic serial number (ESN)
- The hash of the KNETI key (HKNETI)

The KNETI key authenticates the HSM to clients. It is generated when the HSM is first initialized from factory state.

To retrieve the nShield Connect's ESN and HKNETI, run the command:

```
anonkneti <Unit IP>
```

The example output of the command is as follows;

```
>anonkneti.exe 10.204.153.52
0401-03E0-D947 18fd6da2186bd778259d31bd63cee09a01b68794
```

5. Register the configuration of the client into HSM.

The `nethsmenroll` command-line utility edits the client hardserver's configuration file to add the specified nShield Connect.

If you are enrolling the client without a nToken, run the command:

```
> nethsmenroll [Options] --privileged < Unit IP> < Unit ESN> < Unit KNETI
HASH>
```

An example output is as follows:

```
> nethsmenroll -p <SERVER-IP> <ESN> <KNETIHASH>
OK configuring hardserver's nethsm imports
```

NOTE: The following is an output of the command if the entry that you want to add already exists.

```
> nethsmenroll -p 10.204.153.52 0401-03E0-D947
18fd6da2186bd778259d31bd63cee09a01b68794
```

```
nethsmenroll: an entry with ESN 0401-03E0-D947 already exists; use '--force' to
overwrite it
```

6. Startup config-server.

The config-serverstartup command-line utility automatically edits the [server_startup] section in the local hardserver configuration file in order to enable TCP ports for Java and KeySafe. Any fields for which values are not specified remain unchanged. After making any changes you are prompted to restart the hardserver.

```
> config-serverstartup [OPTIONS]
```

7. Test the installation.

To test the installation and configuration, follow these steps:

- Log in on the client computer as a regular user and open a command window.
- Run the command:

```
> enquiry
```

A successful enquiry command returns an output of the following form:

```
Server:
enquiry reply flags none
enquiry reply level Six
serial number #####-#####-#####
mode operational
version #-#-#
speed index #####
rec. queue #####.#####
---
version serial #
remote server port #####
Module ##:
enquiry reply flags none
enquiry reply level Six
serial number #####-#####-#####
mode operational
version #-#-#
speed index #####
rec. queue ##.###
---
rec. LongJobs queue ##
SEE machine type PowerPCELF
supported KML types DSAP1024s160 DSAP3072s256
hardware status 0
```

NOTE: If the enquiry command says that the unit is not found:

Restart the client computer.

Re-run the enquiry command.

8. Configure RFS synchronization.

The remote file system (RFS) contains the master copy of the HSM Security World data for backup purposes. The RFS can be located on either a client or another network-accessible computer where the Security World Software is installed. If the RFS is on a client, the same file structure also contains the configuration files for that client.

```
C:\Users\Administrator> rfs-sync.exe --setup --no-authenticate <RFS-IP>
No current RFS synchronization configuration.
Configuration successfully written; new config details:
Using RFS at <RFS-IP>:9004: not authenticating.
```

```
C:\Users\Administrator> rfs-sync.exe --update
Starting synchronisation, task ID 5ca6fa8a.32f596d69d1bb3ca
Updated (new) card_b5310850ab6c82e1605382c7b68b183cda60d13a_1
Updated (new) card_b5310850ab6c82e1605382c7b68b183cda60d13a_2
Updated (new) card_b5310850ab6c82e1605382c7b68b183cda60d13a_3
...
Updated (new) module_0401-03E0-D947
Updated (new) module_4711-02E0-D947
Updated (new) world
Finished synchronisation: 53 files updated, 0 committed.
```

```
C:\Users\Administrator> rfs-sync -c
Starting synchronisation, task ID 5ca48468.764b879a080c7eca
This client does not have commit permission to the RFS;you must run 'rfs-
setup -
-gang-client' on the RFS first.
```

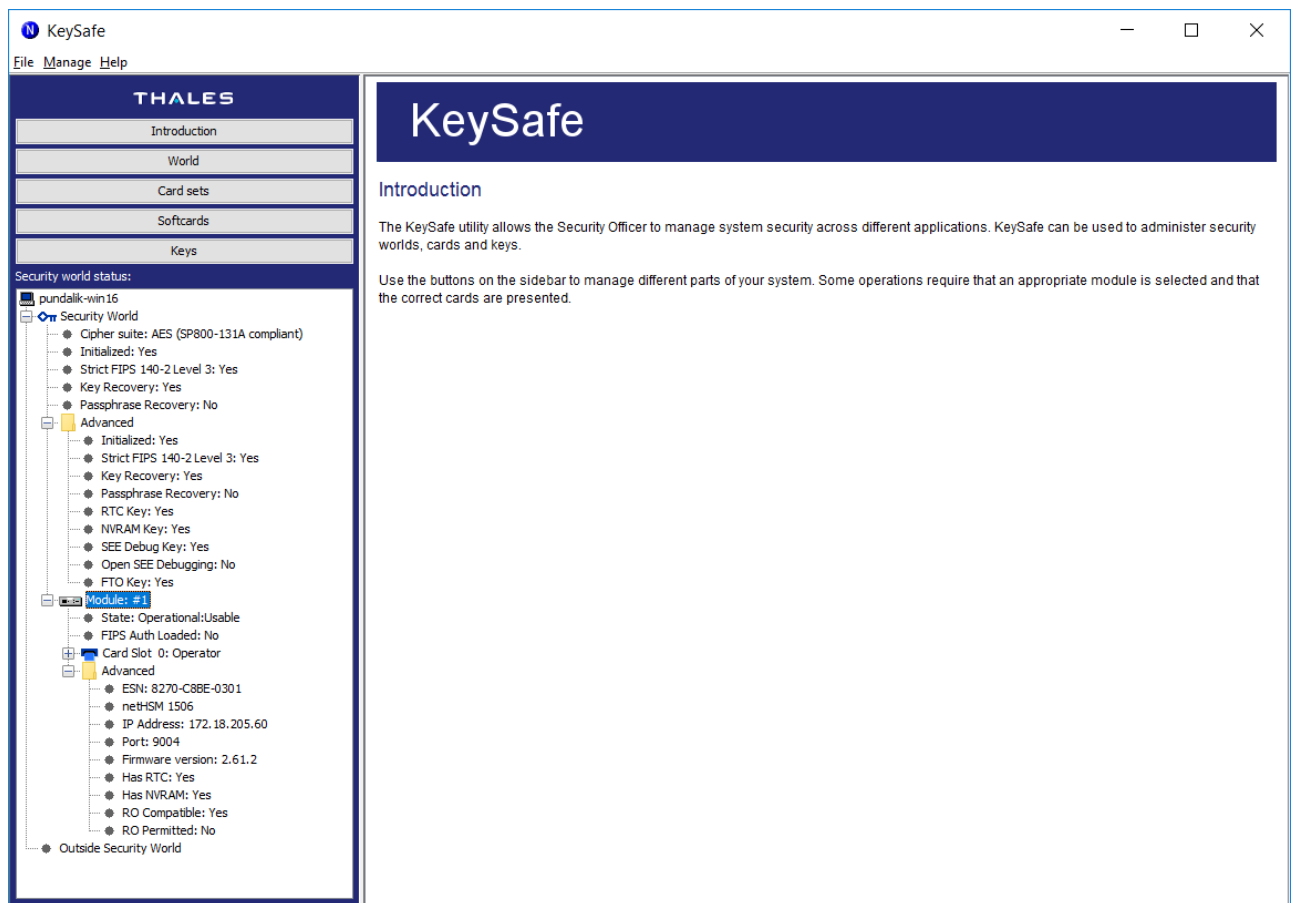
NOTE: The RFS synchronization port is 9004.

After the setup, the following command must be executed:

```
rfs-sync.exe -update
```

9. Check status through KeySafe. Run the following command.

```
C:\Program Files (x86)\nCipher\nfast\bin\ksafe.exe
```



NOTE: Please find the details of KeySafe in "nShield Connect User Guide for Windows"

Configure HA (High Availability)

For example, there are several hardservers (e.g. Server-A, Server-B). To configure HA, add all the hardservers as follows:

1. Obtain the HSM ESN and HKNETI information.

To retrieve the nShield Connect's ESN and HKNETI, run the command:

```
anonkneti <Unit IP>
```

The example of output of the command is as follows:

```
>anonkneti.exe <Server-A IP>
0401-03E0-D947 18fd6da2186bd778259d31bd63cee09a01b68794
>anonkneti.exe <Server-B IP>
4711-02E0-D947 c4405efd401b3719c109cde104832e3eec18376c
```

2. Add all the hardservers.

The nethsmenroll command-line utility edits the client hardserver's configuration file to add the specified nShield Connect.

If you are enrolling the client without an nToken, run the command:

```
> nethsmenroll [Options] --privileged < Unit IP> < Unit ESN> < Unit KNETI  
HASH>
```

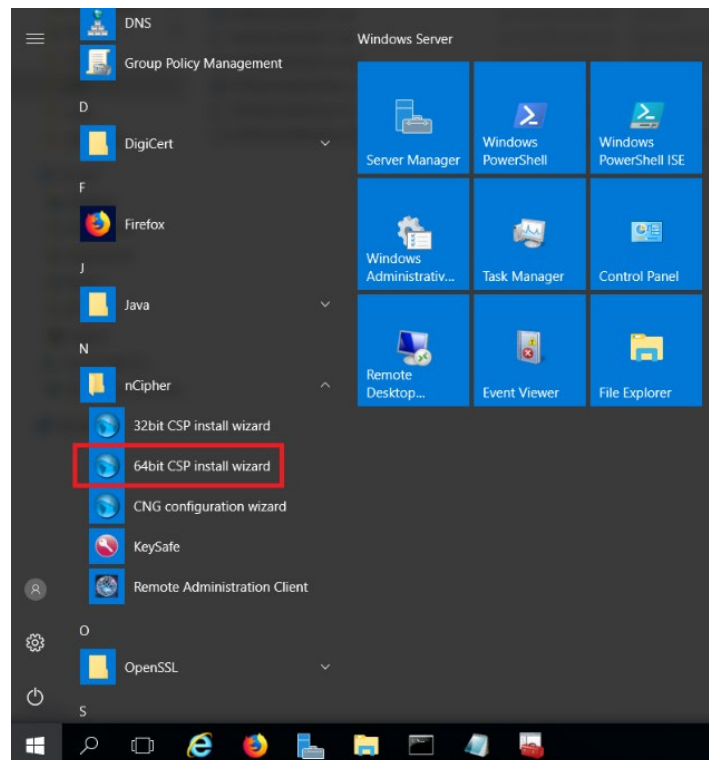
The example outputs are as follows;

```
> nethsmenroll -p <Server-A IP> 0401-03E0-D947  
18fd6da2186bd778259d31bd63cee09a01b68794  
OK configuring hardserver's nethsm imports  
> nethsmenroll -p <Server-B IP> 4711-02E0-D947  
c4405efd401b3719c109cde104832e3eec18376c  
OK configuring hardserver's nethsm imports
```

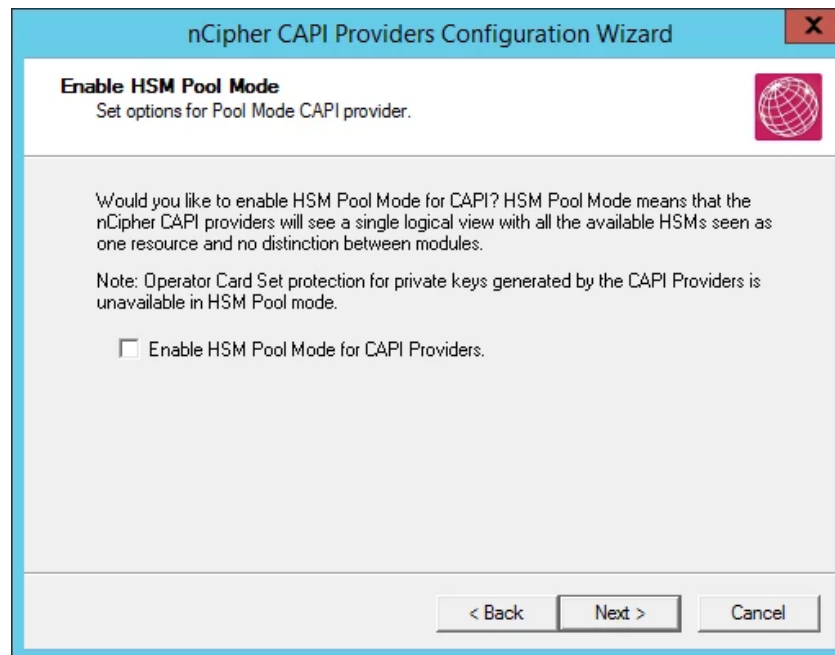
Configure CSP (Module protection)

NOTE: Please note that for the deployment of the Autoenrollment Server, you need to Configure CSP.

1. Go to **Start-> nCipher** and run CSP Install wizard (64bit).

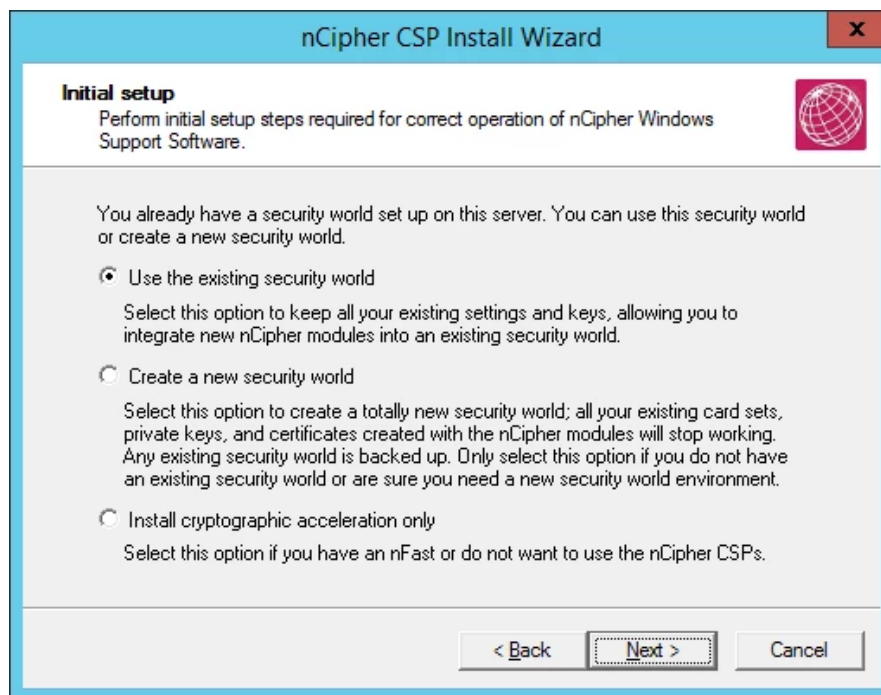


2. On Enable HSM Pool Mode, click **Next**.



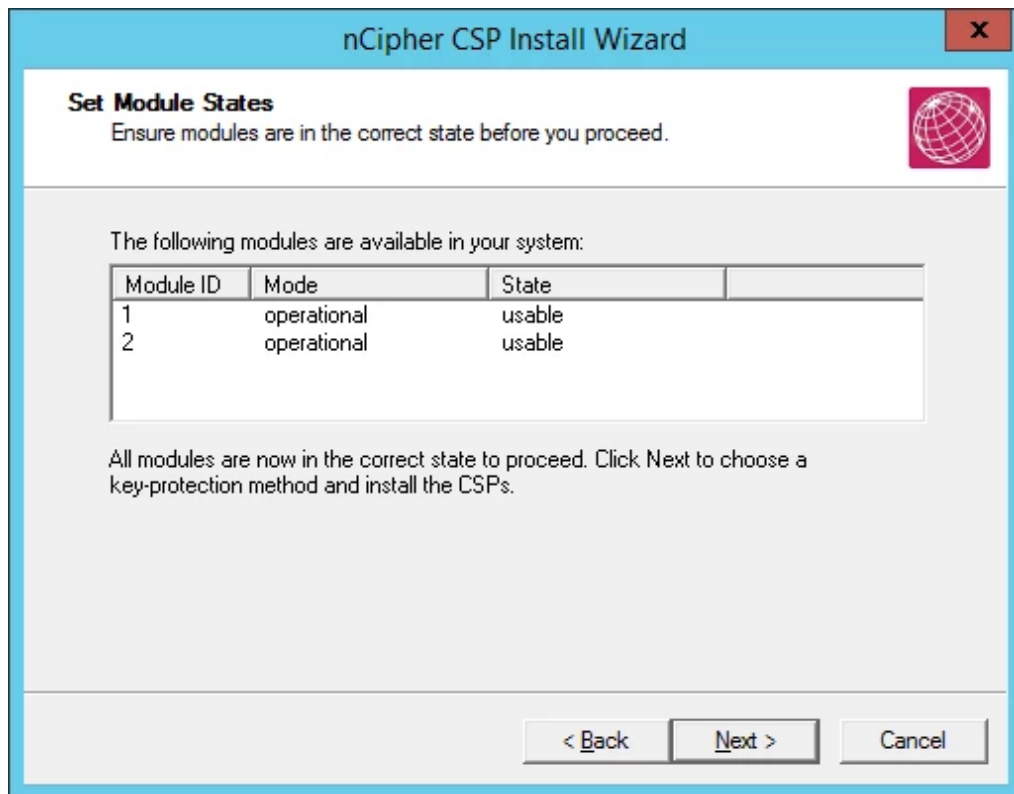
NOTE: Do not select the “Enable HSM Pool Mode for CAPI Providers” checkbox.

3. On Initial setup dialog box, click **Next**.

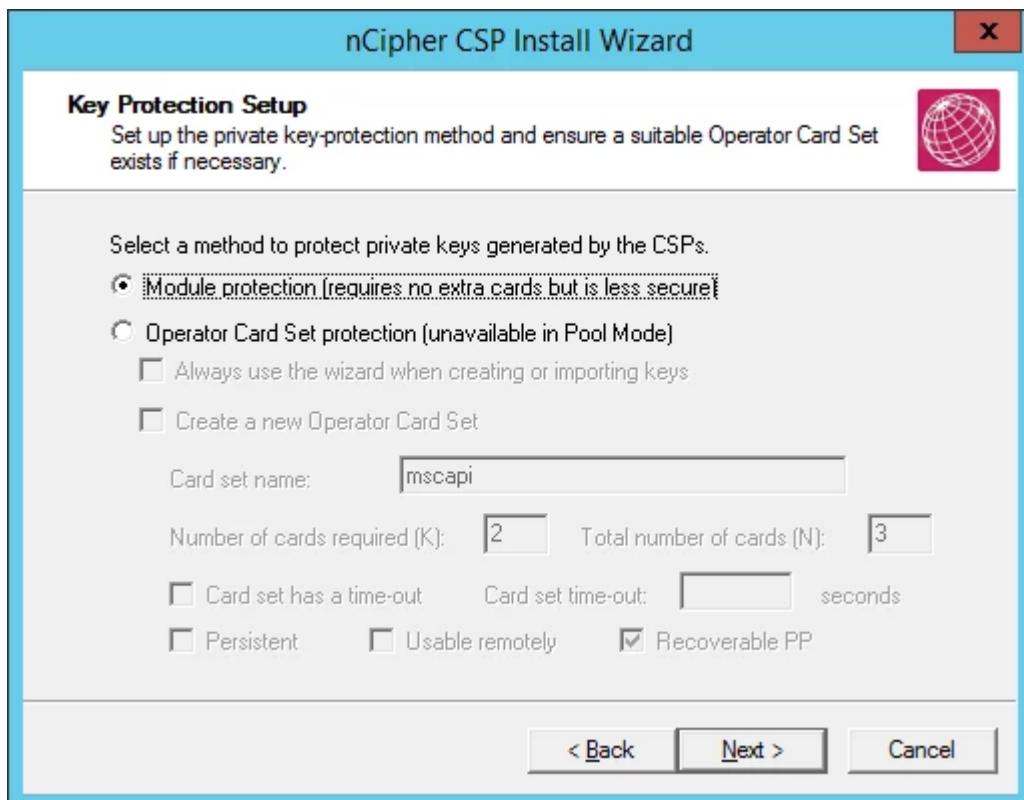


NOTE: The first one (Use the **existing security world**) must be selectable. If not, please check the configuration of module. (eg rfs-sync).

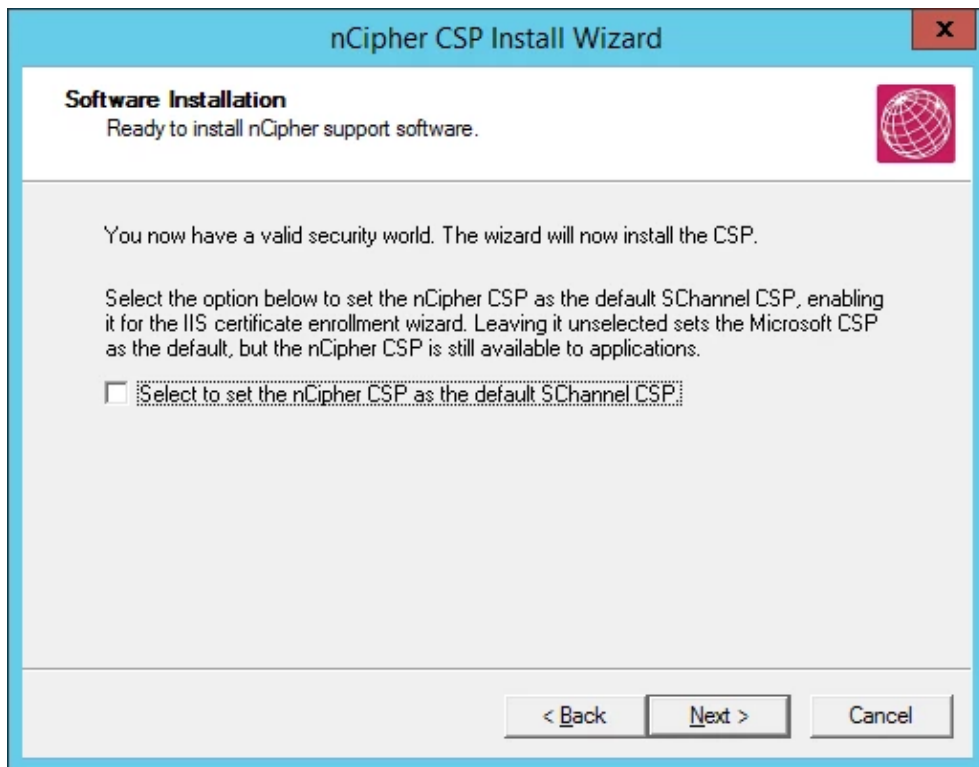
4. On Set Module States dialog box, click **Next**.



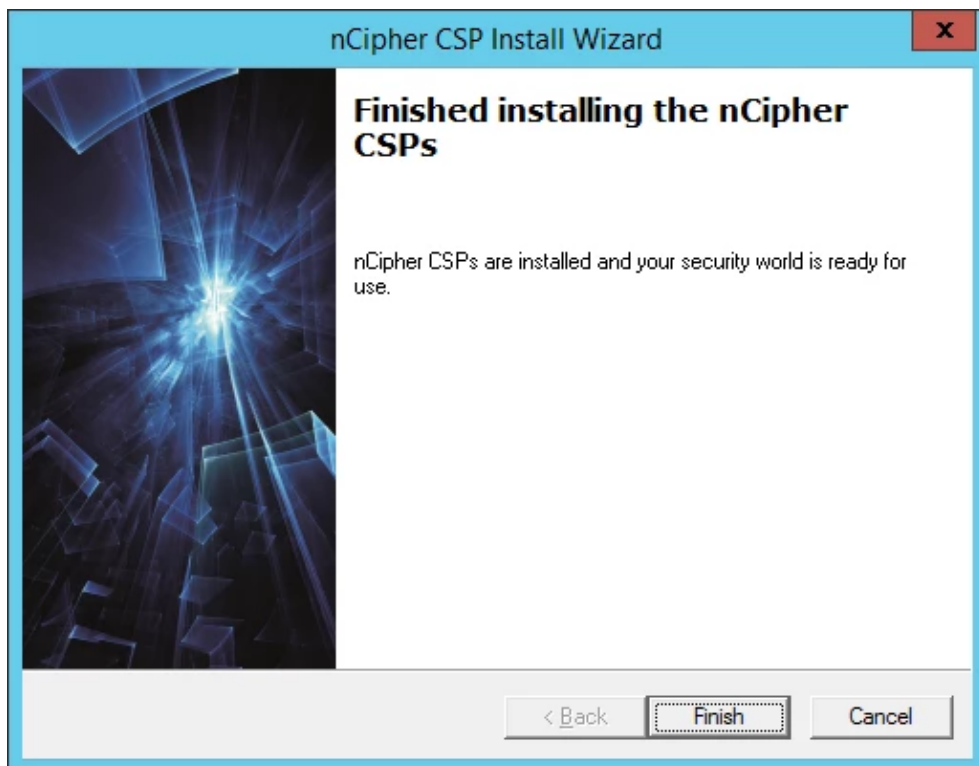
5. On the Key Protection Setup dialog box, click **Next**.



6. On the Software Installation dialog box, click **Next**.



7. Click **Finish**.



8. Confirm the CSP Providers for nCipher.

```
C:\Users\Administrator>certutil -csplist
Provider Name: Microsoft Base Cryptographic Provider v1.0
Provider Type: 1 - PROV_RSA_FULL

Provider Name: Microsoft Base DSS and Diffie-Hellman Cryptographic Provider
Provider Type: 13 - PROV_DSS_DH

Provider Name: Microsoft Base DSS Cryptographic Provider
Provider Type: 3 - PROV_DSS

Provider Name: Microsoft Base Smart Card Crypto Provider
Provider Type: 1 - PROV_RSA_FULL

Provider Name: Microsoft DH SChannel Cryptographic Provider
Provider Type: 18 - PROV_DH_SCHANNEL

Provider Name: Microsoft Enhanced Cryptographic Provider v1.0
Provider Type: 1 - PROV_RSA_FULL

Provider Name: Microsoft Enhanced DSS and Diffie-Hellman Cryptographic
Provider
Provider Type: 13 - PROV_DSS_DH

Provider Name: Microsoft Enhanced RSA and AES Cryptographic Provider
Provider Type: 24 - PROV_RSA_AES

Provider Name: Microsoft RSA SChannel Cryptographic Provider
Provider Type: 12 - PROV_RSA_SCHANNEL

Provider Name: Microsoft Strong Cryptographic Provider
Provider Type: 1 - PROV_RSA_FULL

Provider Name: nCipher DSS Signature Cryptographic Provider
Provider Type: 3 - PROV_DSS

Provider Name: nCipher Enhanced Cryptographic Provider
Provider Type: 1 - PROV_RSA_FULL

Provider Name: nCipher Enhanced DSS and Diffie-Hellman Cryptographic Provider
Provider Type: 13 - PROV_DSS_DH

Provider Name: nCipher Enhanced DSS and Diffie-Hellman SChannel Cryptographic
Provider
Provider Type: 18 - PROV_DH_SCHANNEL

Provider Name: nCipher Enhanced RSA and AES Cryptographic Provider
Provider Type: 24 - PROV_RSA_AES

Provider Name: nCipher Enhanced SChannel Cryptographic Provider
Provider Type: 12 - PROV_RSA_SCHANNEL

Provider Name: Microsoft Software Key Storage Provider

Provider Name: Microsoft Platform Crypto Provider
```

Microsoft Platform Crypto Provider: The device that is required by this cryptographic provider is not ready for use.

Provider Name: Microsoft Smart Card Key Storage Provider
CertUtil: -csplist command FAILED: 0x80090030 (-2146893776 NTE_DEVICE_NOT_READY)

CertUtil: The device that is required by this cryptographic provider is not ready for use.

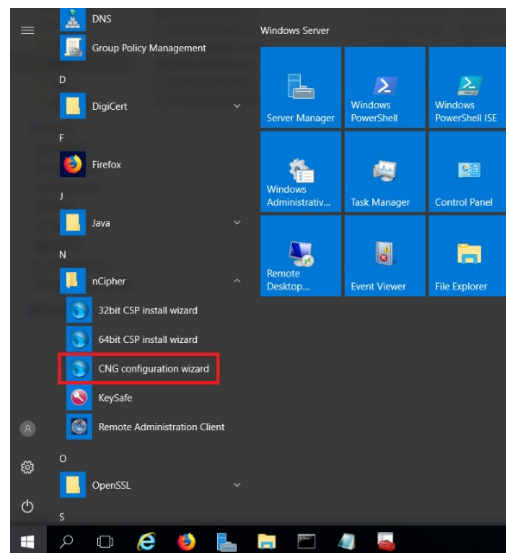
NOTE: You can find the following providers:

nCipher DSS Signature Cryptographic Provider
nCipher Enhanced Cryptographic Provider
nCipher Enhanced DSS and Diffie-Hellman Cryptographic Provider
nCipher Enhanced DSS and Diffie-Hellman SChannel Cryptographic Provider
nCipher Enhanced RSA and AES Cryptographic Provider
nCipher Enhanced SChannel Cryptographic Provider

Configure KSP (Module protection)

NOTE: Please note that for the deployment of the Enterprise Gateway Server, you need to Configure KSP

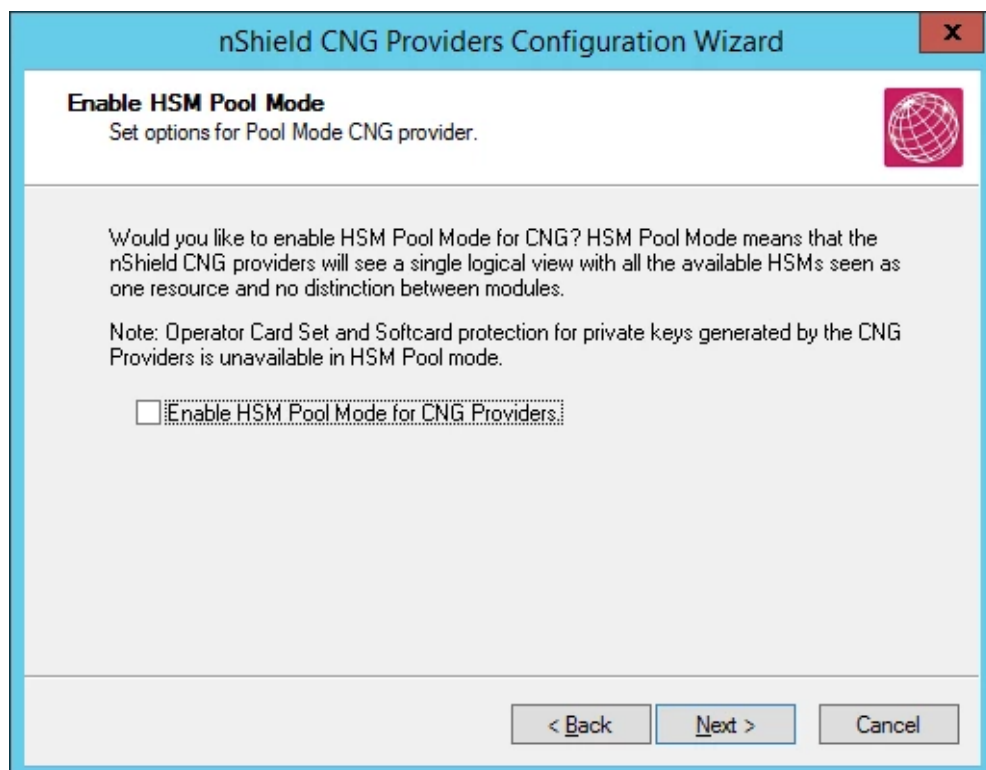
1. In the Windows start up menu, run CNG wizard.



2. Click **Next**.



3. On Enable HSM Pool Mode, click **Next**.



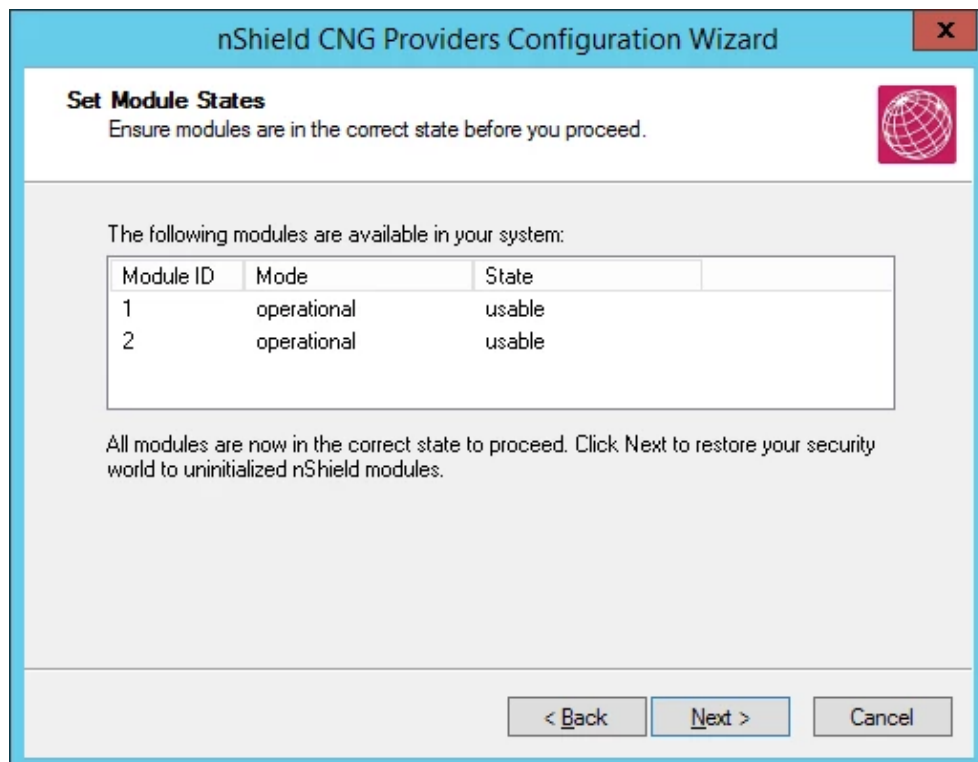
NOTE: Do not check the "Enable HSM Pool Mode for CNG Providers" checkbox.

4. On Initial setup dialog box, click **Next**.

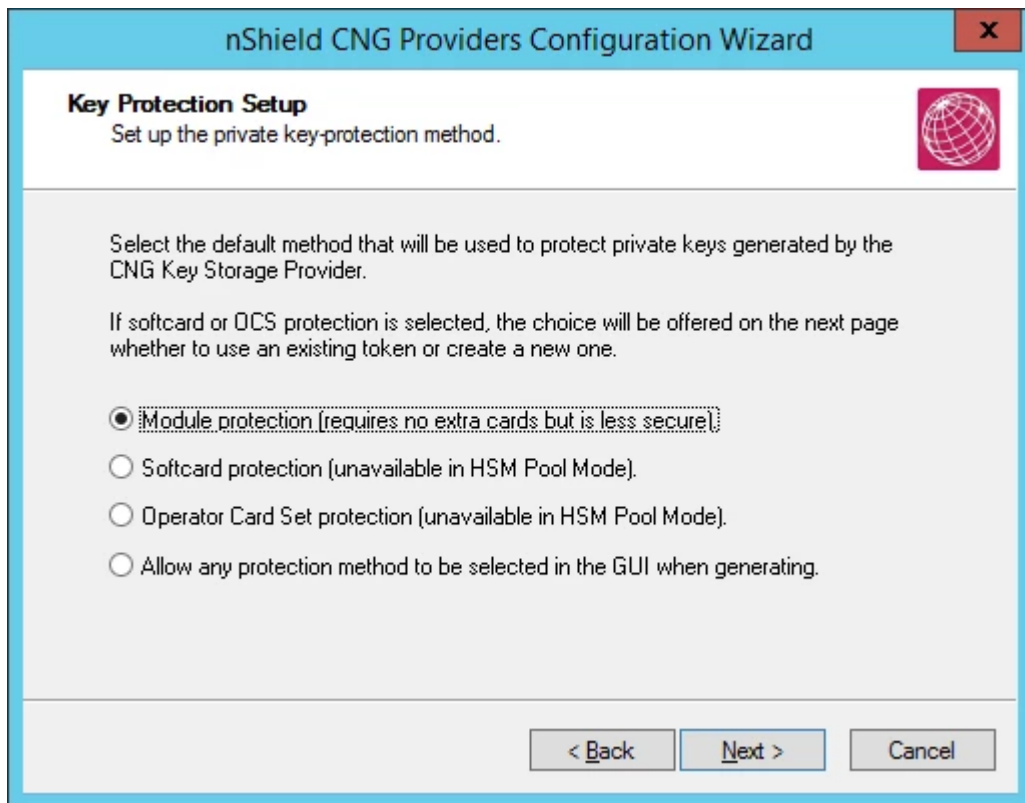


NOTE: The first one (**Use the existing security world**) must be selectable. If not, please check the configuration of the module, for example rfs-sync.

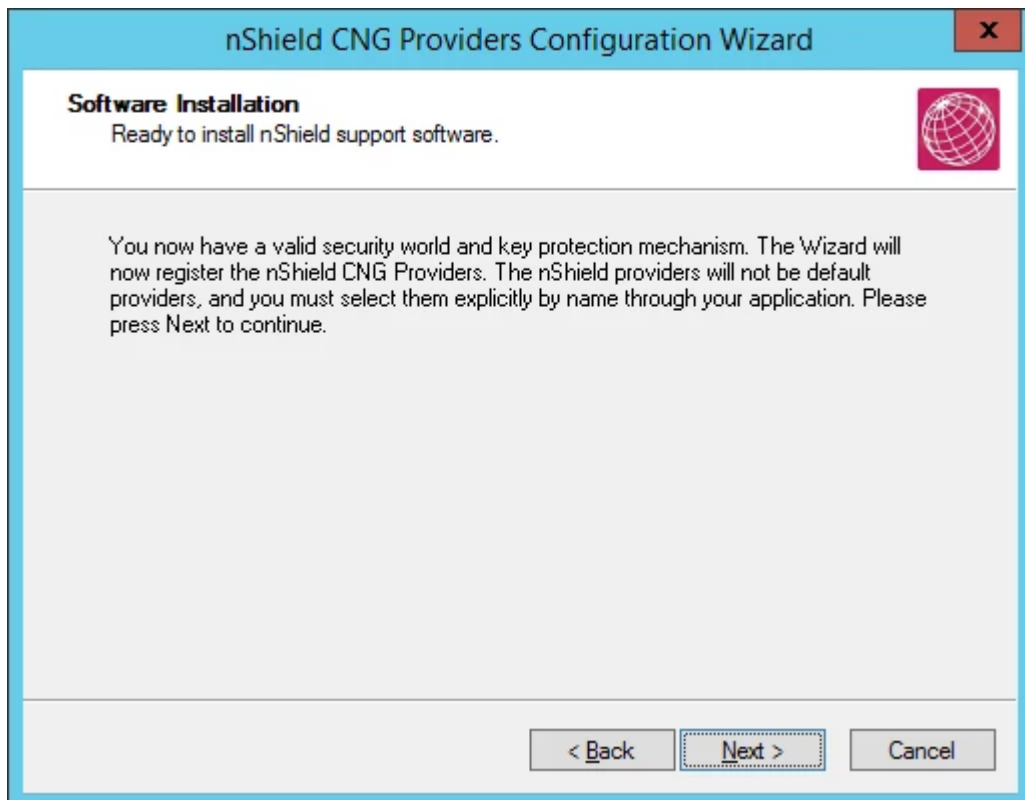
5. On Module States dialog box, click **Next**.



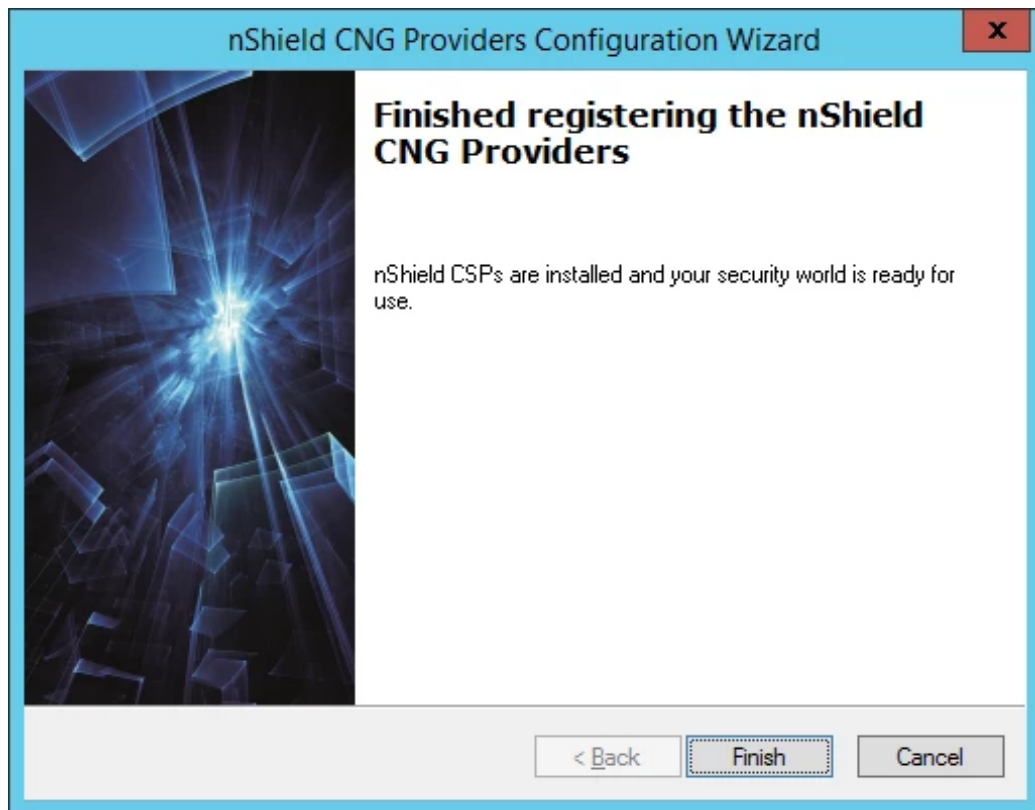
6. On Key Protection Setup dialog box, click **Next**.



7. On Software Installation dialog box, click **Next**.



8. Click **Finish**.



9. Confirm the providers for nCipher.

```
C:\Users\Administrator>cnglist --list-providers
Microsoft Key Protection Provider
Microsoft Platform Crypto Provider
Microsoft Primitive Provider
Microsoft Smart Card Key Storage Provider
Microsoft Software Key Storage Provider
Microsoft SSL Protocol Provider
Windows Client Key Protection Provider
nCipher Primitive Provider
nCipher Security World Key Storage Provider
```

NOTE: You can find the following providers:

nCipher Primitive Provider
nCipher Security World Key Storage Provide

Generate CSR and Install Certificate (Module protection)

1. Create the information file for CSR.

- a) To generate CSR through certreq.exe through CSP, the ProviderName must be "nCipher Enhanced Cryptographic Provider". The sample of inf file follows:

```
[Version]
Signature = "$Windows NT$"
[NewRequest]
RequestType = PKCS10
ProviderName = "nCipher Enhanced Cryptographic Provider"
Subject = "CN=Registration Authority"
KeyContainer = "CSPRA20200316"
MachineKeySet = TRUE
KeyAlgorithm = RSA
KeyLength = 2048
KeyUsage = 0xf0
```

- b) To generate CSR through certreq.exe through KSP, the ProviderName must be "nCipher Security World Key Storage Provider". The sample of inf file is as follows:

```
[NewRequest]
KeyUsageProperty = "NCRYPT_ALLOW_ALL_USAGES"
RequestType = PKCS10
ProviderName = "nCipher Security World Key Storage Provider"
ProviderType = 0
Subject = "CN=Registration Authority"
KeyContainer = "KSPRA20190418"
MachineKeySet = TRUE
HashAlgorithm = SHA256
KeyAlgorithm = RSA
KeyLength = 2048
```

2. Generate CSR through HSM.

NOTE: <inf-file> is the file created at step #1, <csr-file> is an output file.

- a) Open command prompt and run the following command:

```
> certreq -new <inf-file> <csr-file>
```

- b) The CSR file will be generated as follows:

```
-----BEGIN NEW CERTIFICATE REQUEST-----
MIIDjzCCAncCAQAwITEfMB0GA1UEAwWUmVnaXN0cmF0aW9uIEF1dGhvcm10eTCC
....
C610uaqncn6FvLu5pygZYFEVt0anCXNQRRUwiDGWKjHF+10GMh+V5YUur55T4W80
0uwK
-----END NEW CERTIFICATE REQUEST-----
```

3. Install a certificate.

a) Open the command prompt (on the folder where the PKCS#7 file exists) and run the following command:

```
> certreq -accept <issued-cert>
```

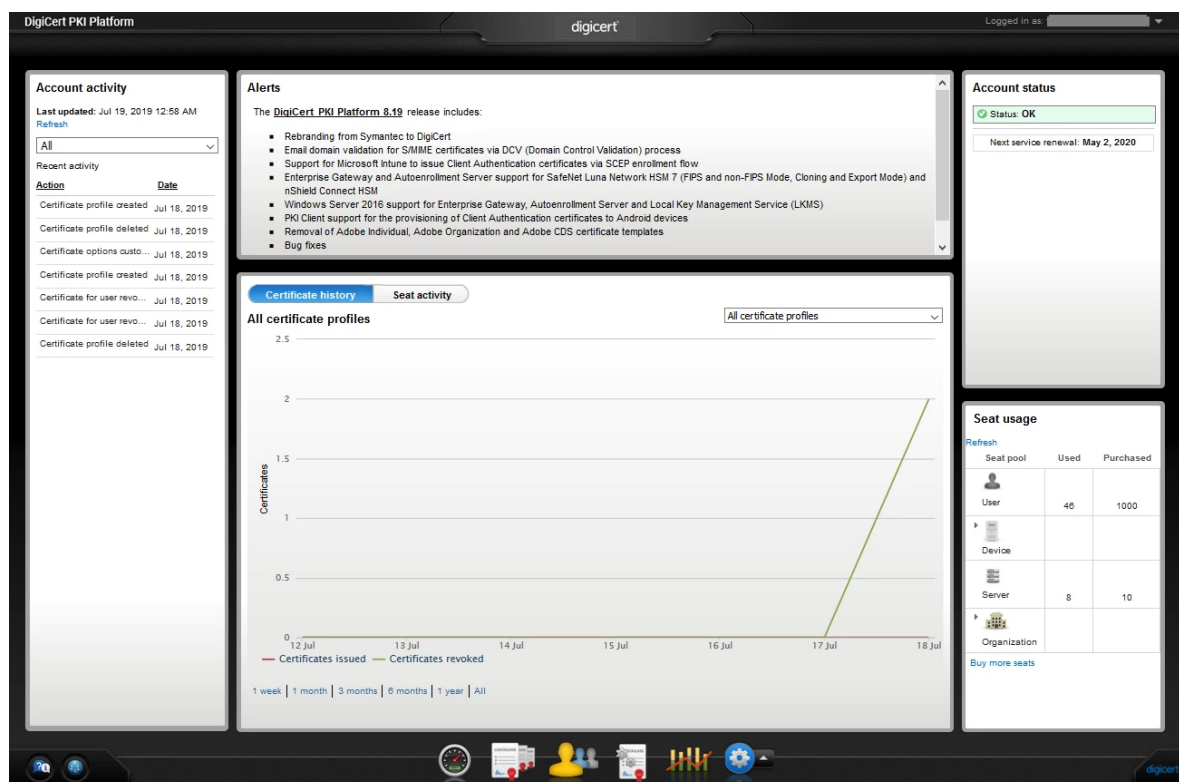
b) Before running the command, the trusted root certificate must be installed. If not, the following error appears.

Certificate Request Processor: A certificate chain could not be built to a trusted root authority. 0x800b010a (-2146762486 CERT_E_CHAINING)

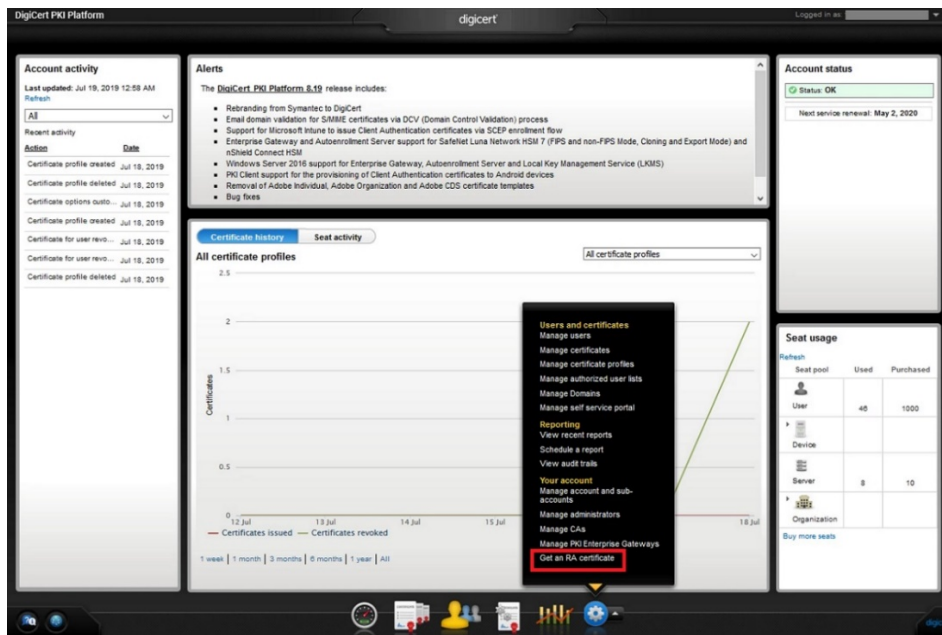
Get RA Certificate in PKI-Manager

The generated CSR (PKCS#10) can be copied and pasted onto the "Get an RA certificate" page on PKI Manager by an authorized PKI Administrator. The resulting RA (PKCS#7) certificate can be saved onto a local folder.

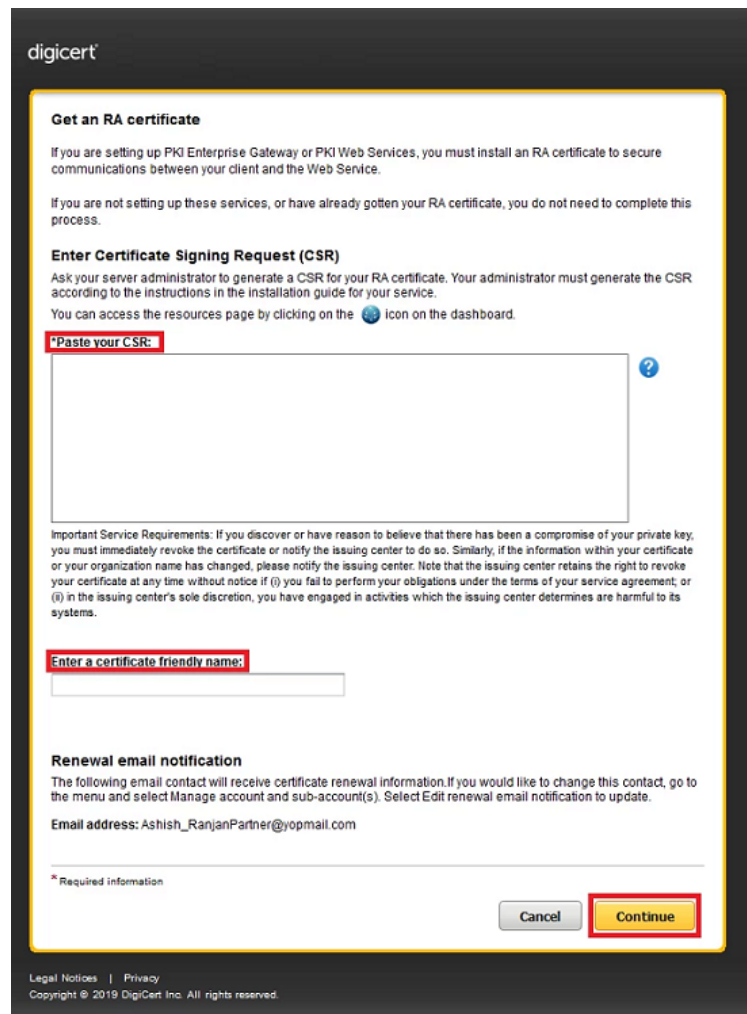
1. Go to PKI Manager and use your certificate to sign in.



2. Click Menu and select **Get an RA Certificate**.



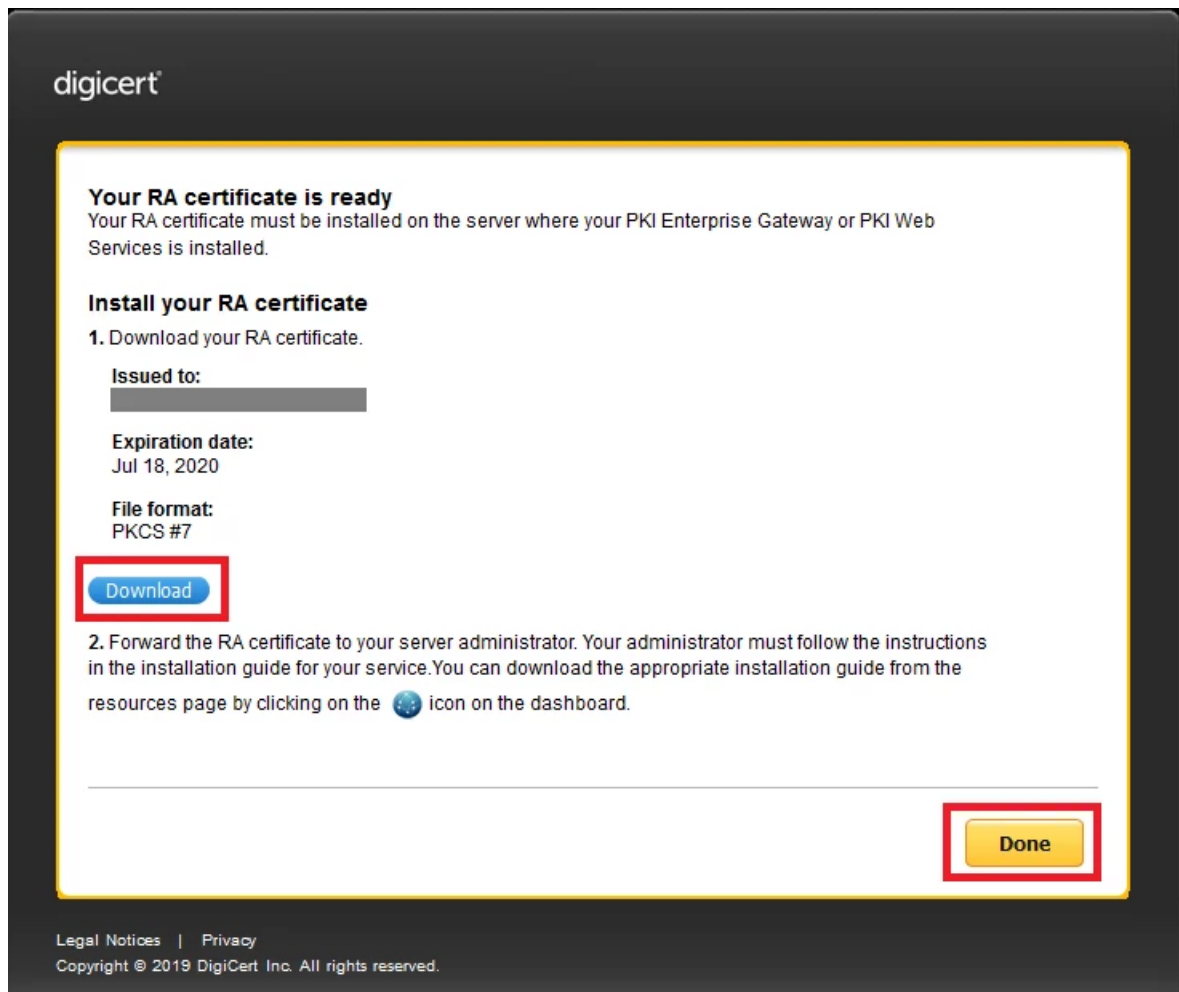
3. Paste your CSR and enter a certificate friendly name and then click **Continue**.



The CSR looks like the following. Paste it into the field.

```
-----BEGIN NEW CERTIFICATE REQUEST-----  
MIIDjzCCAncCAQAwITEfMB0GA1UEAwwUmVnaXN0cmF0aw9uIEF1dGhvcm10eTCC  
...  
zbnTmg1IIY4NSgFcRsbs5j5GQDN86gSKmQ8/EvOjbpC62X3ZDhVmYSMBJU01Jgv6  
1tyz  
-----END NEW CERTIFICATE REQUEST-----
```

4. Click **Download** to download the PKCS#7 file.



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Your RA certificate is ready
Your RA certificate must be installed on the server where your PKI Enterprise Gateway or PKI Web Services is installed.

Install your RA certificate


1. Download your RA certificate.

Issued to:
[Redacted]

Expiration date:
Jul 18, 2020

File format:
PKCS #7

[Download](#)

2. Forward the RA certificate to your server administrator. Your administrator must follow the instructions in the installation guide for your service. You can download the appropriate installation guide from the resources page by clicking on the  icon on the dashboard.

[Done](#)

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5. Click **Done** to go back to the PKI Dashboard.